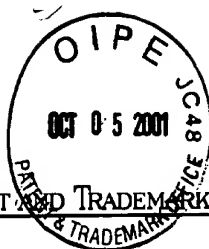




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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,452	08/24/2001	Edmund W. Figiel	1944.NVF

CONFIRMATION NO. 2230

FORMALITIES LETTER



OC00000006799974

Charles W. Almer
Counsel, I.P.
NATIONAL STARCH AND CHEMICAL COMPANY
10 Finderne Avenue
Bridgewater, NJ 08807-3300

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/09/2001 SDIRETA1 00000014.140455 09938452

01 FC:105

130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

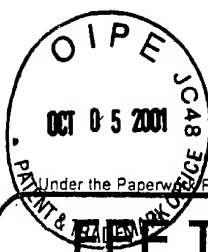
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/938,452
		Filing Date	08/24/2001
		First Named Inventor	Edmund W. Figiel et al
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	1944.NVF

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 14-0455 Deposit Account Name: National Starch and Chemical <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Fee Code (\$)	
FEE CALCULATION		Large Entity Fee (\$)	
1. BASIC FILING FEE		Small Entity Fee (\$)	
Large Entity Fee (\$)		Fee Description	
Small Entity Fee (\$)		Fee Paid	
Fee Description			
101 710 201 355 Utility filing fee		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non-English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$)		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims -20** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/>		116 390 216 195 Extension for reply within second month	
Independent Claims -3** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/>		117 890 217 445 Extension for reply within third month	
Multiple Dependent <input type="checkbox"/> = <input type="checkbox"/>		118 1,390 218 695 Extension for reply within fourth month	
Large Entity Fee (\$)		128 1,890 228 945 Extension for reply within fifth month	
Small Entity Fee (\$)		119 310 219 155 Notice of Appeal	
Fee Description		120 310 220 155 Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20		121 270 221 135 Request for oral hearing	
102 80 202 40 Independent claims in excess of 3		138 1,510 138 1,510 Petition to institute a public use proceeding	
104 270 204 135 Multiple dependent claim, if not paid		140 110 240 55 Petition to revive - unavoidable	
109 80 209 40 ** Reissue independent claims over original patent		141 1,240 241 620 Petition to revive - unintentional	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		142 1,240 242 620 Utility issue fee (or reissue)	
SUBTOTAL (2) (\$)		143 440 243 220 Design issue fee	
**or number previously paid, if greater; For Reissues, see above		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$) 130	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles W. Almer	Registration No. (Attorney/Agent)	36,731
Signature	<i>Charles W. Almer</i>	Telephone	908-707-3738
		Date	10/2/01

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AA

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/938,452
	Filing Date	08/24/2001
	First Named Inventor	Edmund W. Figiel et al.
	Group Art Unit	
	Examiner Name	
Total Number of Pages In This Submission:	Attorney Docket Number	1944.NVF

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Doc(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name -	Charles W. Almer National Starch and Chemical
Signature -	
Date -	10/2/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:			
Typed or Printed Name	Anne Marie Pickel		
Signature		Date	10/2/01

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